PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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| TRANSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | | |
|---|---|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | \$9025.1179 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | | |
| PCT/US2005/010850 30 March 2005 | PRIORITY DATE CLAIMED 1 April 2004 | | | | | | |
| TITLE OF INVENTION | | | | | | | |
| PHOTOINITIATORS FOR USE IN INTAGLIO PRINTING INKS APPLICANT(S) FOR DO/EO/US | | | | | | | |
| Michael W. Leonard et al. | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| The US has been elected (Article 31). | | | | | | | |
| 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. x has been communicated by the International Bureau. | | | | | | | |
| c. sis not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. | | | | | | | |
| a is attached hereto. b has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. x Amendments to the claims of the International Application under PCT Articles | le 19 (35 U.S.C. 371(c)(3)) | | | | | | |
| a. are attached hereto (required only if not communicated by the Internati | | | | | | | |
| b. have been communicated by the International Bureau. | | | | | | | |
| c. have not been made; however, the time limit for making such amendm | ents has NOT expired. | | | | | | |
| d. x have not been made and will not be made. | | | | | | | |
| 8. An English language translation of the amendments to the claims under PC | | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | |
| 11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | : | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in complia | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| X A preliminary amendment. | | | | | | | |
| An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| A substitute specification. | | | | | | | |
| | A power of attorney and/or change of address letter. | | | | | | |
| . A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. | | | | | | | |
| A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. A second copy of the English language translation of the international ap | plication under 35 U.S.C. 154(d)(4). | | | | | | |
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| U.S. APPLICATION NO. (if | known, see (| 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. PCT/US2005/010850 | | ATTORNEY'S DOCKET NUMBER S9025.1179 | | | |
|--|--------------|-------------------------------|---|-------------|--|------------------------|---------------|--|
| 20. x Other items or information: | | | | | | | | |
| II. | | | | | | | | |
| | | | | | | | | |
| The following | ees have | e been submitte | ed | | | CALCULATION | S PTO USEONLY | |
| 21. x Basic national fee (37 CFR 1.492(a))\$300 | | | | \$ 300.0 | 00 | | | |
| 22. x Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$ 200.0 | 00 | | | |
| 23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$ 400.0 | 00 | | | |
| | OF 21, 22 | | | | | \$ 900.0 | 00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets Extra S | heets | | additional 50 or fraction up to a whole number) | | RATE | | | |
| 28 - 100 = | /50 = | x \$250.00 | | | x \$250.00 | \$ | | |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | | | | |
| CLAIMS | NUN | JMBER FILED NUMBER EXTRA RATE | | RATE | | | | |
| Total claims | | 3 - 20 = 3 × 50.00 | | 50.00 | 150.00 | | | |
| Independent claims 2 - 3 = x | | | | | 0.0 | 00 | | |
| MULTIPLE DEPENDEN | CLAIM(S |) (if applicable) | TOTAL OF A | + | E CALCULATIONS - | ¢ 1050.0 | 100 | |
| | | | TOTAL OF A | 1801 | E CALCULATIONS = | \$ 1,050.00 | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | _ | | | | |
| SUBTOTAL = | | | | \$ 1,050.0 | 00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | \$ 1,050.00 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | | | | |
| | | | | | \$ | | | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,050.00 | | | | |
| | | <u> </u> | | - | | Amount to be refunded: | \$ | |
| | | | | | | Amount to be charged | \$ | |
| | | | | | | | · | |

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| a. A check in the amount of \$ | to cover the above fees is enclose | ed | | | | |
|--|--|---|--|--|--|--|
| | | to cover the above fees. | | | | |
| c. X The Commissioner is hereby authorized to charge an | ny additional fees which may be req copy of this sheet is enclosed. | uired, or credit any overpayment to Deposit | | | | |
| d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. | | | | | | |
| mod an grantou to receive the meaning of personner. | to perianty elation | | | | | |
| SEND ALL CORRESPONDENCE TO: | _Elwa | udh. Malman | | | | |
| Edward A. Meilman | ŠIGNATUI | RE | | | | |
| DICKSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor | · | Edward A. Meilman | | | | |
| New York, New York 10036-2714 (212) 277-6520 | NAME | | | | | |
| CUSTOMER NUMBER: 32172 | REGISTRA | 24,735 ATION NUMBER | | | | |
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